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**AGENCY FOR
INTERNATIONAL
DEVELOPMENT**



ANNUAL BUDGET SUBMISSION

FY 82

POLICY DEVELOPMENT AND PROGRAM REVIEW

JUNE 1980

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY
WASHINGTON, D.C. 20523

UNCLASSIFIED

FY 1982 ANNUAL BUDGET SUBMISSION

Bureau for Program and Policy Coordination

**Office of Policy Development
and Program Review**

June 2, 1980

PDPR

FY 1982 ANNUAL BUDGET SUBMISSION

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TABLE I - LONG RANGE PLAN BY APPROPRIATION ACCOUNT (\$ Thousands)

Development Assistance	FY 1980 EST.	FY 1981 EST.	Decision Unit			PPC/PDPR				
			FY 1982 REQUEST			PLANNING PERIOD				
			MIN	CORE	ANAL	1983	1984	1985	1986	
<u>Agriculture, Rural Dev. and Nutrition</u>										
Grants	200	250	-	-	-	-	-	-	-	-
<u>Population</u>										
Grants	700	600	-	-	-	-	-	-	-	-
<u>Health</u>										
Grants	447	300	-	-	-	-	-	-	-	-
<u>Education</u>										
Grants	150	-	-	-	-	-	-	-	-	-
<u>Selected Development Activities</u>										
Grants	1,522	1,870	3,350	3,350	4,415	4,220	4,711	5,090	5,475	
TOTAL DA ACCOUNTS	3,019	3,020	3,350	3,350	4,415	4,220	4,711	5,090	5,475	

Total Personnel

[illegible]

TABLE I - Narrative

**Part I - Relationship between the FY 1982 Proposed
Level and Direct-hire Personnel Planning Level**

The FY 82 minimum/current program is essentially a continuation of the minimal FY 80 and 81 programs at a 10% higher level. Only one new project is proposed. We believe the filling of current vacancies in the Human Resources and Rural Development Divisions will provide the resources necessary to develop and manage the proposed FY 82 program and do not anticipate that the proposed level will require additional personnel. Since most of the activities represent increased levels of funding for projects included in the minimum/current package, staff requirements need not increase proportionately with program increments.

TABLE III - PROJECT OBLIGATIONS BY APPROPRIATION ACCOUNT
FY 1980 TO FY 1982
(\$ thousands)

Decision Unit PFC/PDPR

APPROPRIATION ACCOUNT	FY 1980	FY 1981	FISCAL YEAR 1982		
			MINIMUM	CURRENT	AAFL
<u>Agriculture, Rural Dev. and Nutrition</u>					
930-0091 Rural Dev. Research	200	250	-	-	-
<u>Population</u>					
930-0068 Fertility Impact of Development	400	600	-	-	-
930-0088 Human Capital Invest.	300	-	-	-	-
<u>Health</u>					
930-0082 Alternative Policies to Promote Village Health	247	300	-	-	-
930-0088 Human Capital Invest.	200	0	-	-	-
<u>Education</u>					
930-0081 Policy Significance of . . . Education	150	-	-	-	-
<u>SDA</u>					
930-0002 Development Digest	122	145	150	150	150
930-0077 Energy & S&T Studies	160	200	160	160	250
930-0092 Economic Research	140	250	200	200	300
930-0090 Soc/Pol Aspects of Dev	350	350	350	350	700
930-0091 Rural Dev. Research	-	-	200	200	300
930-0050 Export Dev. Assist.	400	465	465	465	465
930-0078 Women's Opportunities	300	100	-	-	-
930-0088 Human Capital Invest.	-	360	-	-	-
Lampang Eval. Proj.	50	-	-	-	-
930-0097 Human Resources Strategies			1,825	1,825	2,250

TABLE IV PROJECT BUDGET DATA

DEVISION UNIT

PPC/PDPR

PROJECT			OBLIGATION DATE		DATE OF NEXT PLANNED NON-ROUTINE EVAL.	ESTIMATED U.S. DOLLAR COST (\$000)										FUTURE YEAR OBLIGATIONS
						CURR. PIPELINE AS OF 9/30/79	FY 1980			FY 1981			FY 1982 APL OBLIG.	FORWARD FUNDED TO (MO/YR)		
							OBLIG.	EXPEND.	CURR. PIPELINE	OBLIG.	EXPEND.	CURR. PIPELINE				
NUMBER	TITLE	OE	INITIAL	FINAL												
930-0002	Development Digest	G	1977	open	-	120	122	120	122	145	130	130		3/81	660	
930-0077	Energy and S&T Studies	G	1977	open	-	72	160	112	120	200	200	120	250	-	1,000	
930-0092	Economic Research	G	1979	open	-	180	200	280	100	250	350	-	300	7/82	1,200	
930-0090	Social/Political Aspects of Dev	G	1977	open		160	350	200	310	350	390	270	700	-	4,600	
930-0643	Income Distribution	G	1975	1981		380	-	150	-	-	150	80	-	-		
930-0091	Rural Development Research	G	1980	open	-	-	200	-	200	250	275	175	300	3/83	2,100	
930-0050	Export Development Assistance	G	1973	open	1981	266	400	566	100	465	475	90	465	9/83	1,665	
930-0068	Fertility Impact of Development	G	1978	open	-	700	400	900	200	600	700	100	-	-	-	
930-0082	Village Health	G	1979	open	-	150	247	372	25	300	200	125	-	-	-	
930-0081	Policy Significance of Literature on Economics of Education	G	1980	1980	-	-	150	150	0	-	-	-	-	-	-	
930-0089	Education Policy Implementation	G	1981	open	-	-	-	-	-	-	-	-	-	-	-	
930-0078	Women's Opportunities	G	1980	open	-	-	300	200	100	100	150	50	-	-	-	
930-0088	Human Capital Investments	G	1980	open	-	-	500	400	100	360	400	60	-	-	-	
930-0097	Human Resources Strategies	G	1982	open	-	-	-	-	-	-	-	-	2,250	-	8,300	

TABLE V - FY 1982 PROPOSED PROGRAM RANKING						Bureau Code:		Decision Code:			
						DECISION UNIT PPC/PDPR					
RANK	DECISION PACKAGES/PROGRAM ACTIVITY		PIPELINE/ ONGOING/ NEW	LOAN/ GRANT	APPROP. ACCT.	PROGRAM FUNDING (\$000)		WORKFORCE (Number of Positions)			
	DESCRIPTION					INCR	CUM	UNDE		FNDH	
										INCR	CUM
	<u>DECISION PACKAGE MINIMUM/CURRENT</u>										
	<u>Pipeline Projects</u>										
	930-0643	Income Distribution	P	G	SD	-					
	930-0069	Fertility Impact of Women's Opportunities	P	G	PN	(721)					
	930-0066	Fertility Determinants and the Role of Women	P	G	PN	(79)					
	930-0081	Policy Significance of Education	P	G	EH	(150)					
	<u>New and continuing Projects</u>										
1	930-0097	Human Resources Strategies	N	G	SD	1,825	1,825				
2	930-0090	Social/Political Aspects of Development	O	G	SD	350	2,175				
3	930-0077	Energy and S&T Studies	O	G	SD	160	2,335				
4	930-0002	Development Digest	O	G	SD	150	2,485				
5	930-0091	Rural Development Research	O	G	SD	200	2,685				
6	930-0050	Export Development Assistance	O	G	SD	465	3,150				
7	930-0092	Economic Research	O	G	SD	200	3,350				
	Total Minimum/Current Package and Related Workforce					3,350		43			

Bureau Code:

Decision Code:

TABLE V - FY 1982 PROPOSED PROGRAM RANKING

RANK	DECISION PACKAGES/PROGRAM ACTIVITY	PIPELINE/ ONGOING/ NEW	LOAN/ GRANT	APPROP. ACCT.	DECISION UNIT PPC/PDPR		WORKFORCE (Number of Positions)			
					PROGRAM FUNDING (\$000)		UNDH		FNDH	
					INCR	CUM	INCR	CUM	INCR	CUM
	<u>DECISION PACKAGE - PROPOSED</u>									
8	930-0097 Human Resources Strategies	N	G	SD	425	3,775				
9	930-0090 Social/Political Aspects of Dev	0	G	SD	350	4,125				
10	930-0077 Energy and S&T Studies	0	G	SD	90	4,215				
11	930-0091 Rural Development Research	0	G	SD	100	4,315				
12	930-0092 Economic Research	0	G	SD	100	4,415				
	Total Proposed Package and Related Workforce				1,065		43			

Minimum/Current Decision Package

This group of activities represents a continuation of essential socio-economic policy-related research and analysis and technical services designed to help improve the effectiveness of AID-supported projects. The minimum/current request is 10% above the adjusted FY 1981 CP level. It allows for continuation of the present modest program plus the initiation of one new activity in the nutrition area.

The minimum/current level permits PDPR to continue its human resources program in the areas of population, Section 104(d), health, and education, and to give new attention to nutrition as part of overall human resources strategies. It allows the continuation of the human capital investments project, but gives it a lower priority than other sector projects. In health, PDPR will give increased attention to cost implications of major AID-supported primary health care and basic water supply and sanitation projects. A small program to develop some of the necessary evaluative material critical for educational planning is proposed. In the nutrition area, PDPR proposes a new activity designed to improve development planners' understanding of the linkages between nutrition and other development sectors and to promote cost-effective programs to improve nutritional status in LDCs.

In the area of rural development, PDPR will continue policy oriented research and conduct seminars and conferences to improve AID's knowledge of the rural development process. Possible areas of study include land tenure and distribution of agricultural assets, sequencing support of rural development activities, the role of small scale industry in rural development, the impact of macro-economic policies on rural development projects, the social impact of agricultural development on traditional societies, and the generation of data in LDCs essential to rural development policy formulation and implementation. PDPR will continue to fund the World Trade Institute project providing training and technical services to LDCs in export promotion and trade development.

Activities which promote popular participation in development will continue. Studies are anticipated in the areas of international migration, political and social aspects of natural resource degradation, role of ethnic and communal groups in development, and political structures and their relation to participatory development.

In FY 1982 PDPR will continue to share in the support of the Inter-American Household Survey Program, and the World Bank's Living Standards Measurement study. Funds will also be provided for some economic studies in areas such as performance measurement and employment planning.

PPC will continue to support selected activities in the areas of energy and science and technology. The Development Digest will continue to be published in FY 1982.

The limited research, study and pilot project activities of PDPR are intended to provide information for the policy formulation process of PPC and the Agency generally. These policies are basically a set of recommendations on how AID's resources should be divided among different types of programs to achieve our development assistance objectives, taking into account the ways in which programs and broader economic and social forces affect each other. PDPR's program seeks to assess the social and economic costs and benefits of alternative approaches to promoting health, education, family planning, rural development, and the like. Thus, PDPR's activities are intended not only to fill important knowledge gaps, but also to stimulate Agency initiatives in less traditional areas, to test or verify assumptions underlying development assistance policies, and to understand how measures across several sectors -- not only health, education, and family planning, but also agriculture, energy, and others -- combine with economic and social forces to affect health, education, fertility, nutrition, economic production, and more. Thus, exploration of the relationship of a range of assistance activities to the goal of reducing

population growth is of high priority for PPC. Similarly, PPC has funded an examination of the consequences of accelerated mortality declines for future population growth and economic progress in developing countries. The Bureau is investigating, with the aid of consultants, the public finance aspects of recurrent costs associated with implementing a basic human needs strategy. These and other activities produce results which bear directly and importantly on Agency policy. The investment in terms of money and staff is relatively small; the returns are significant.

Proposed Decision Package

The increment over Minimum/Current (\$1,065 thousand) will permit PDPR to pursue an expanded range of policy-supporting research and study activities. Specifically, this level will permit the initiation of additional activities in the following areas: (1) agriculture and rural development, (2) human resources, (3) economics, (4) energy and science and technology, and (5) political and social aspects of development. Further, the Human Capital Investment project could be fully funded.

DECISION UNIT
PPC/PDPR

FUNCTION/ORGANIZATIONAL UNIT/ POSITION TITLE/PROFESSIONAL SPECIALITY	NUMBER OF POSITIONS									
	FY 1980		FY 1981		FY 1982					
	USDH	FNDH	USDH	FNDH	Minimum		Current		AAPL	
					USDH	FNDH	USDH	FNDH	USDH	FNDH
<u>EXECUTIVE DIRECTION</u>										
<u>Office of the Associate Assistant Administrator</u>										
Associate Assistant Admin. - R-2	1		1		1					
Deputy Associate Assistant Admin. - R-2	1		1		1					
Economist - GS-7 (part-time)	1		1		1					
All Other (Non-Professional) (GS-8; 7; full-time)	2		2		2					
Subtotal Executive Direction	5		5		5					
<u>PROGRAM & POLICY PLANNING & IMPLEMENTATION</u>										
<u>Civic Participation Division</u>										
Social Science Analyst - GS-14	1		1		1					
All Other (Non-Professional) (GS-7; full-time)										
GS-5; part-time)	2		2		2					
CD Officer (IPA)	1		1		1					
<u>Economic Development Division</u>										
Supervisory Economist - GS-15	1		1		1					
Financial Economist - FR-2	1		1		1					
Economist - GS-13	1		1		1					
Labor Economist - GS-14	1		1		1					
All Other (Non-Professional) GS-6;4; full-time)	2		2		2					

**TABLE IX - SUPPORTING DATA ON PROPOSED PROGRAM RANKING
POSITION REQUIREMENTS - FY 1980-1982**
(By Function, Organizational Unit, Position Title and Professional Speciality)

DECISION UNIT
PPC/PDPR

FUNCTION/ORGANIZATIONAL UNIT/ POSITION TITLE/PROFESSIONAL SPECIALITY	NUMBER OF POSITIONS									
	FY 1980		FY 1981		FY 1982					
					Minimum		Current		AAPL	
	USDH	FNDH	USDH	FNDH	USDH	FNDH	USDH	FNDH	USDH	FNDH
<u>PROGRAM & POLICY PLANNING & IMPLEMENTATION</u>										
<u>Human Resources Division</u>										
Social Science Analyst - GS-13	1		1		1					
Education Specialist - GS-14	1		1		1					
Program Analyst - GS-14	1		1		1					
Education Advisor - FR-5 (temporary)	1									
Economist - GS-12	1		1		1					
Foreign Affairs Specialist - GS-7 (temporary)	1									
Supervisory Social Science Analyst - GS-15 (Part-time)	1		1		1					
Social Science Analyst - GS-14 (Part-time)	1		1		1					
Social Science Analyst - GS-11 (Part-time)	1		1		1					
CD Officer (IPA)	1		1		1					
All Other (Non-Professional) (GS-6; full-time GS-3/4/5; full-time) GS-5; part-time)	3		3		3					
<u>Program Design & Implementation Division</u>										
Program Analysis Officer - FR-3	1		1		1					
Program Analyst - FR-3	1		1		1					
Program Analyst - GS-14	1		1		1					
Program Analyst - GS-12	1		1		1					
Program Analyst - GS-9	1		1		1					
All Other (Non-Professional) (GS-6; full-time GS-4; part-time)	2		2		2					

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**TABLE IX - SUPPORTING DATA ON PROPOSED PROGRAM RANKING
POSITION REQUIREMENTS - FY 1980-1982**
(By Function, Organizational Unit, Position Title and Professional Speciality)

DECISION UNIT
PPC/PDPR

FUNCTION/ORGANIZATIONAL UNIT/ POSITION TITLE/PROFESSIONAL SPECIALITY	NUMBER OF POSITIONS									
	FY 1980		FY 1981		FY 1982					
					Minimum		Current		AAPL	
	USDH	FNDH	USDH	FNDH	USDH	FNDH	USDH	FNDH	USDH	FNDH
<u>PROGRAM & POLICY PLANNING & IMPLEMENTATION</u>										
<u>Rural Development Division</u>										
Supervisory Ag Economist-GS-15	1		1		1					
Program Analyst - GS-14	1		1		1					
Project Manager - Ag	1		1		1					
Ag Economist - GS-13	1		1		1					
Ag Economist - GS-13	1		1		1					
Social Science Analyst - GS-9 (part-time)	1		1		1					
All Other (Non-Professional) (GS-6; GS-5; full-time)	2		2		2					
Sub-total PFP&I	38									
Cumulative Total	43									

TABLE X SPECIAL CONCERNS
ADDITIONAL PROJECTS

PROJECT NUMBER AND TITLE	APPROP	CONCERN CODE		FUNDING FOR SPECIAL CONCERN (\$000)				
		PRIME	SUB ^{1/}	FY 1980	FY 1981	FY 1982		
						MINIMUM	CURRENT	AAPL
Women's Opportunities	930-0078	WID		300	100	300	300	300
Fertility Impact of Women's Opportunities	930-0069	WID		736				
Energy and Science and Technology Studies	930-0077	ENER		160	200	160	160	250

^{1/} Use only for Environment Activities

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